



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Bishun N. Khare and Meyya Meyyappan

Serial No: 10/828,524

Filed: 04/05/2004

For: "Improved Functionalization of Carbon Nanotubes"

Mail Stop Missing Parts  
 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, VA 22313-1450

### COMPLETION OF FILING REQUIREMENTS --NONPROVISIONAL APPLICATION

1. This response replies to the Notice to File Missing Parts of Nonprovisional Application and the Filing Receipt mailed June 30, 2004.
  - A copy of the Notice to File Missing Parts of Nonprovisional Application is enclosed.
2. Declaration or Oath
  - The declaration/power of attorney that was filed was determined to be defective. A new original declaration/power of attorney is attached.

---

Certificate of Mailing/Transmission (37 CFR 1.8(a))

I hereby certify that this correspondence comprising:

One Return Postcard  
 Missing Parts Transmittal/Fee Sheet/Petition to Extend Time/Mailing Certificate (3 pages, in duplicate)  
 New Fully Executed Declaration/Power of Attorney (2 pages)  
 Copy of Notice to File Missing Parts of Nonprovisional Application (2 pages)  
 Replacement Drawings (7 sheets, including figs. 1-10)  
 Request for Corrected Filing Receipt (1 pages)  
 Copy of the official filing receipt with marked-up corrections (2 pages)

is, on the date shown below, being mailed via the USPS or transmitted by facsimile to Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date: 12/22/2004

Signature

Vickie L. Kent  
 Vickie L. Kent

**3. Corrected Drawings**

Applicant submits a full set of new drawing(s) for this application.

Number of sheets of drawings submitted 7, including figs. 1-10.

**4. Request for Corrected Filing Receipt**

Issuance of a corrected filing receipt is requested.

A Request for Corrected Filing Receipt is attached

A Copy of the official filing receipt with marked-up corrections is attached.

**5. Completion Fees**

late payment of filing fee

and/or

late filing of original declaration or oath \$ 130.00  
[37 CFR 1.16(e) --\$130.00]

**Total Completion fees \$ 130.00**

**6. Extension of Time**

The proceedings herein are for a patent application, and the provisions of 37 CFR 1.136(a) apply.

(a)  Applicant petitions for an extension of time, the fees for which are set out in 37 CFR 1.17(a)(1)-(5), for the total number of months checked below:

Applicant is other than a small entity

<u>Extension (months)</u>	<u>Fee for other than small entity</u>
<input type="checkbox"/> one month	\$ 120.00
<input type="checkbox"/> two months	\$ 450.00
<input type="checkbox"/> three months	\$ 1020.00
<input checked="" type="checkbox"/> four months	\$ 1590.00
<input type="checkbox"/> five months	\$ 2160.00

**Extension Fee \$ 1590.00**

If an additional extension of time is required, please consider this a petition therefor.

- An extension for \_\_\_\_\_ months has already been secured, and the fee paid therefor of \$\_\_\_\_\_ is deducted from the total fee due for the total months of extension now requested.

**Total extension fee due with this request** \$1590.00

or

- (b)  Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time.

**7. Total Fees Due**

The total fee due is

**Completion fee(s)      \$ 130.00**

Extension fee (if any) \$1590.00

**Total Fee Due**      **\$1720.00**

**8. Payment of Fees, Authorization to Charge Additional Fees, and Authorization to Credit Overpayment**

- Please charge Deposit Account No. 14-0116 in the amount of \$1720.00.  
A duplicate of this request is attached.
  - The Commissioner is hereby authorized to charge any additional fee that may be required to effect the filing of this paper or credit any overpayment to Deposit Account No. 14-0116.

Reg. No.: 26,994

Tel. No.: (650) 604-0887

John F. Schipper 22 Dec 2004  
John F. Schipper date  
Patent Attorney  
NASA Ames Research Center  
Mail Stop 202A-4  
Moffett Field, CA 94035-1000



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/828,524	04/06/2004	Bishun N. Khare	ARC-14661-2

25186  
 NASA AMES RESEARCH CENTER  
 ATTN: PATENT COUNSEL  
 MAIL STOP 202A-4  
 MOFFETT FIELD, CA 94035-1000



CONFIRMATION NO. 4320  
**FORMALITIES LETTER**  
  
 \*OC000000013111986\*

Date Mailed: 06/30/2004

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted***Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

**SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is **\$130** for a Large Entity

- **\$130** Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts  
 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria VA 22313-1450

01/05/2005 AWONDAF1 00000118 140116 10828524

01 FC:1051 130.00 DR

RECEIVED  
 JULY - 4 2005  
 OIPE/JCS

*A copy of this notice **MUST** be returned with the reply.*

N. Mohammed

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE